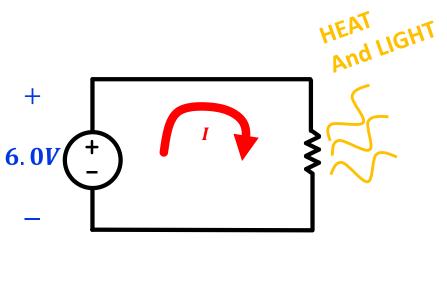
# Lecture 3

**Tube Electronics** 

# Thermionic Emission, aka "the Edison Effect"

- Early lightbulbs were awful (lasting minutes or hours)
- During experiments to improve light bulbs, lots of weird things were observed.
- People shoved electrodes into the lightbulb to try to make filament last longer....
  - Currents would flow one way and not the other...
  - Largely ignored since what's the use in that???
- Edison did patent this, but he'd patent literally anything if he could

- When you apply a voltage across a resistive material, energy is released. That energy doesn't fly away right away so it stays stuck in the material
- Free energy confined to material warms it up
- Do this enough and material will glow....that's the idea behind a lightbulb



P = VI

- When there's enough energy present in a material, electrons can start to be ejected from it.
- In most metals, the particles with the freedom to jump are electrons
- Normally they'll fly off and then fly back down

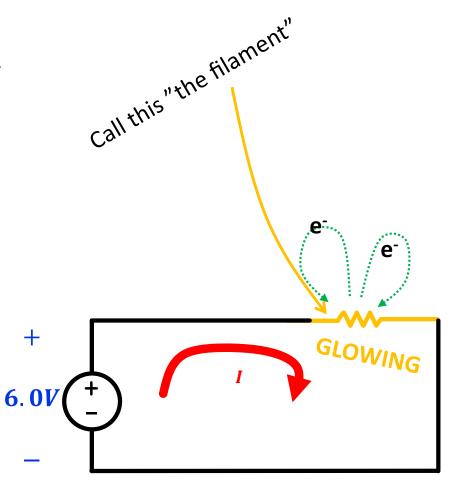


Plate Current I<sub>P</sub>

- If you add a second electrode with a positive voltage near the filament...
- Some electrons will be energized enough and attracted enough to flow to it!
- Current will flow!

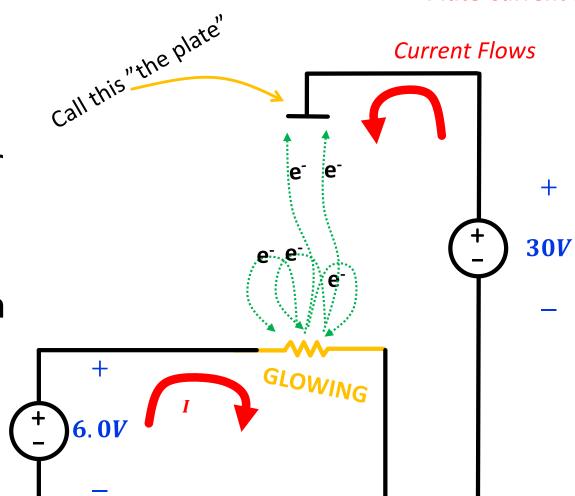
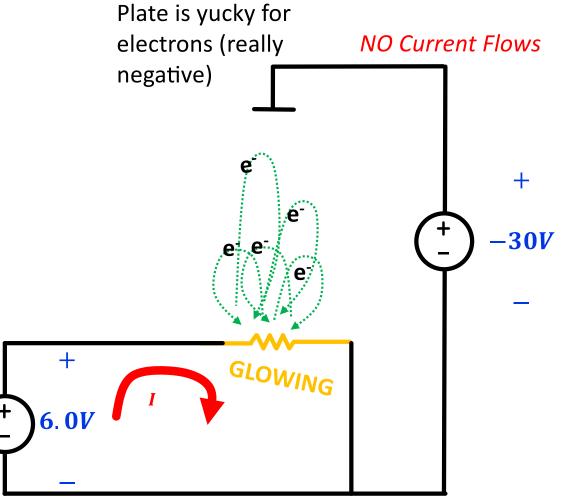


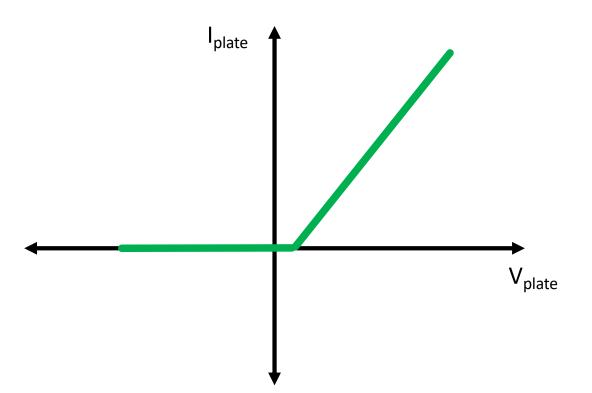
Plate Current I<sub>P</sub>

- If you bias the plate negatively the electrons won't flow
- Weird behavior



# Plot it Out!

• What does this look like?



- •
- NON-LINEAR
- A diode!
- One way electrical valve

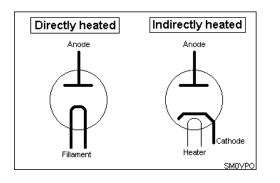
More realistically it might look like:

## **Discovered Several Times**

- Fleming in England thought the rectifying behavior was very similar to one-way valves in fluid/pipes...so called it a "Valve"...a term that the English would use for all vacuum tubes in the future ("valve amplifier")
- Fleming was the first to realize this behavior could be put towards usage as a detector
- The vacuum tube diode on its own didn't immediately take off. Coherers and Crystal detectors were working ok and the tube diode on its own wasn't much better (also bleeding edge tech)

# Diode

Two Electrodes
Greek *di* means two Anode (plate)
Call it "DIODE"
Tube enclosure
Vacuum inside tube
Cathode

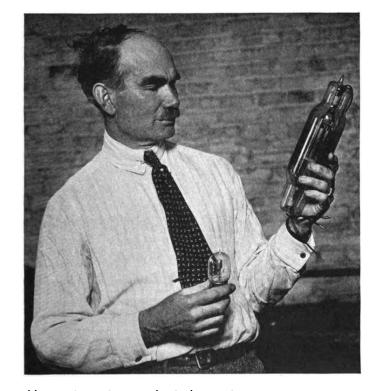


http://213.114.131.21/begin/tube0.htm

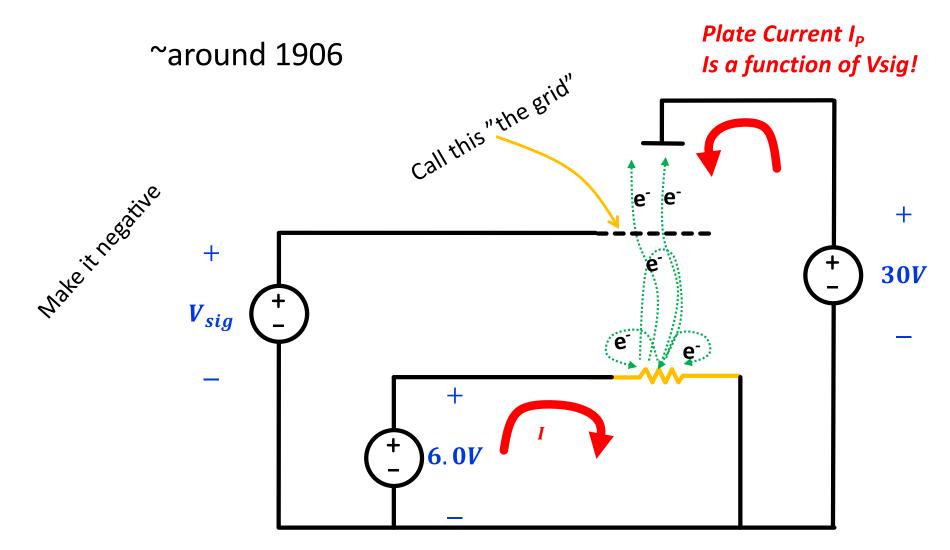
6.S917 IAttps://www.engineering.com/ElectronicsDesign/ElectronicsDesignArticles/ArticleID /16337/Vacuum-Tubes-The-World-Before-Transistors.aspx

#### Lee De Forest

- First person to put a third wire into a thermionic tube
- He had like a dozen companies that failed and always seemed to become best friends with shysters and conmen/conwomen
- Sued lots of people
- Declared himself to be "father of radio" later in life
- But it is largely established that he invented the "triode" which he called the "audion"
- Had no idea really how it worked



#### Add another Electrode to the Diode



## Early "Audions"



- Assumed it would be a kinda sorta good detector and that was their initial application. (weren't used for amplification)
- De Forest assumed that the mechanism of action was the flow of ions so thought that you needed to have a poor vacuum for the thing to work
- This really wrecked early audions' capability to amplify

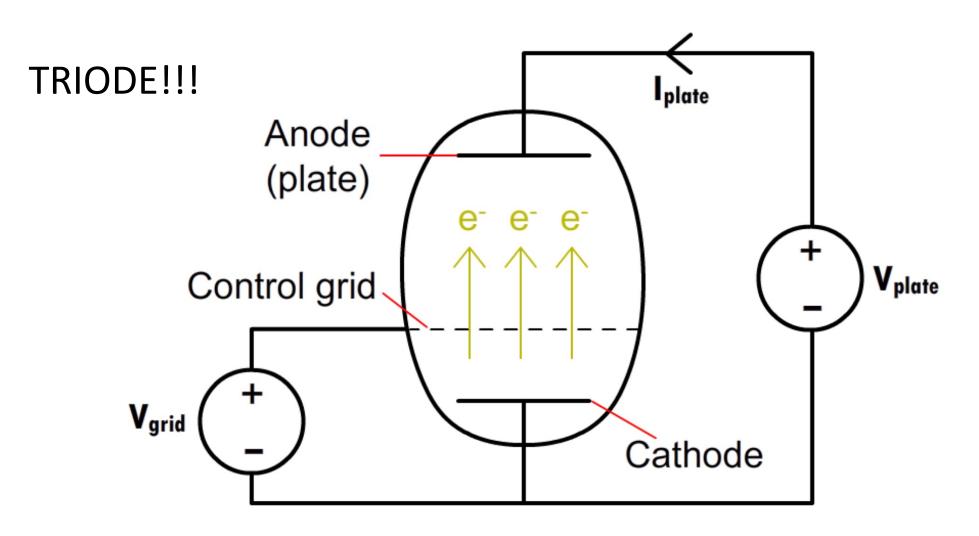
https://en.wikipedia.org/wiki/Triode

# Irving Langmuir

- When working at General Electric he realized you really needed to pump down the tubes to a good vacuum.
- Won Nobel Prize later on for work on how oil films organize
- Once he pumped the tubes down, he suddenly was able to get both really good non-linear behavior AND the ability to amplify somewhat decent amounts
- Named it the "pliotron", but this basically became the first actual working "triode" in the modern sense

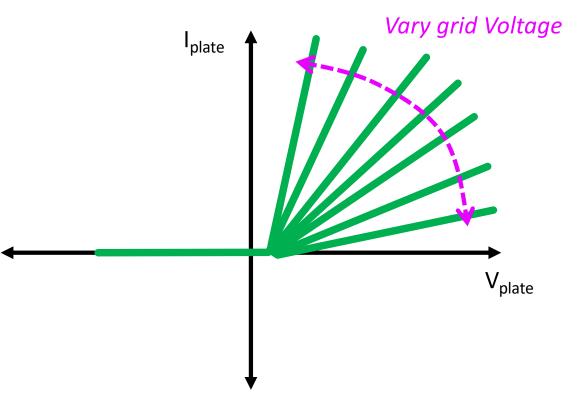


#### Add another electrode



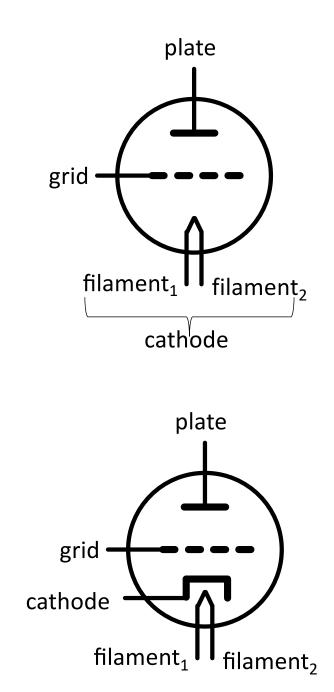
#### Result:

- A bunch of curves
- Which were selectable via the third electrode



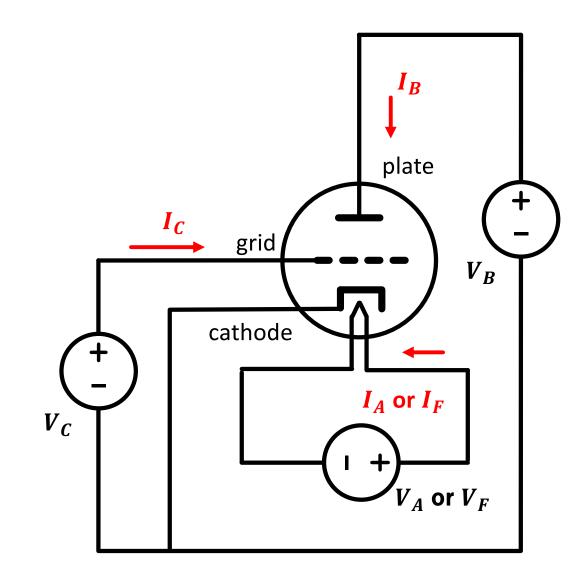
# Triode Pins

- Your most basic Triode has four pins:
  - Anode (Plate)
  - Grid
  - Two for Cathode filament
- Having the filament double a the cathode was electrically annoying, so they developed the indirectly heated cathode to keep the two electrically isolated:
  - Often the filaments aren't even drawn for simplicity sake (but they need to be powered)



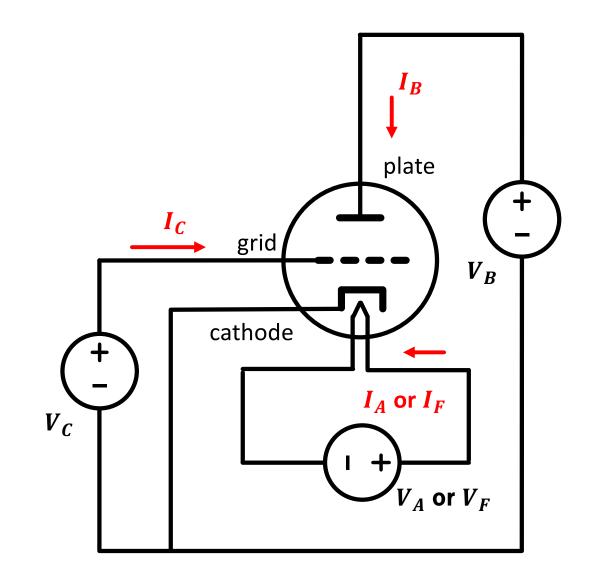
## Basic Triode Setup

- At most basic, you need three separate voltage sources to drive a triode:
  - *V<sub>A</sub>* to run your filament (usually relatively low)
  - *V<sub>B</sub>* To set your plate voltage relative to the cathode
  - *V<sub>C</sub>* To set your grid voltage relative to the cathode



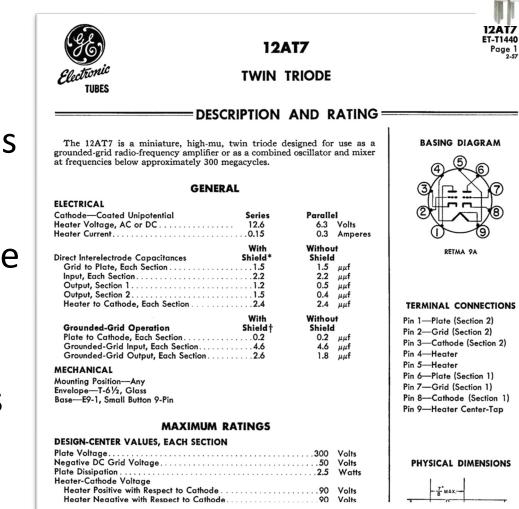
### Basic Triode Setup

- Early systems could therefore need at least three different voltage levels (or more), so possibly three different batteries!
- In practice,  $V_C$  would usually be derived from  $V_B$  through the circuit



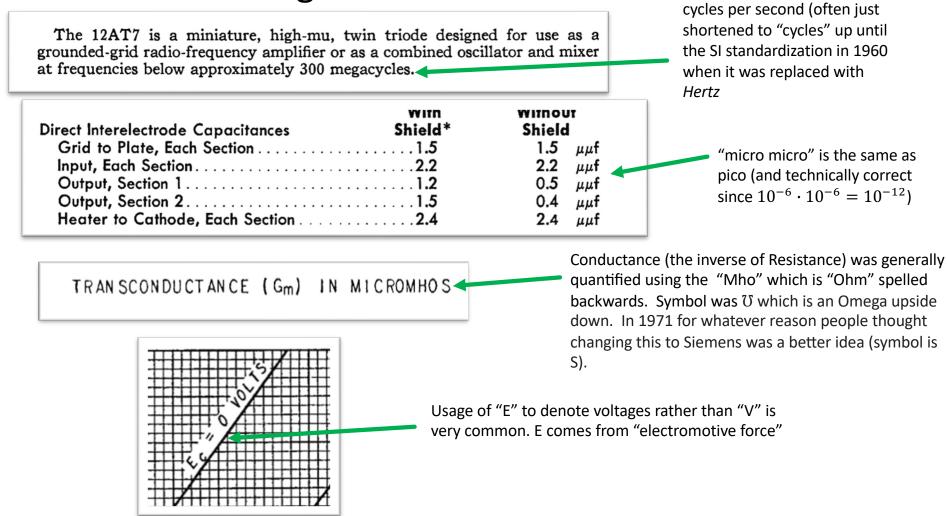
# Let's Study a Triode Tube: 12AT7 High-Mu Twin Triode

- Developed in 1950s (much later triode)
- Two independent triodes
- Share a common heater
- Relatively High-Gain tube (up to a gain of 100)
- Still used in a lot of preamps for audiophiles today



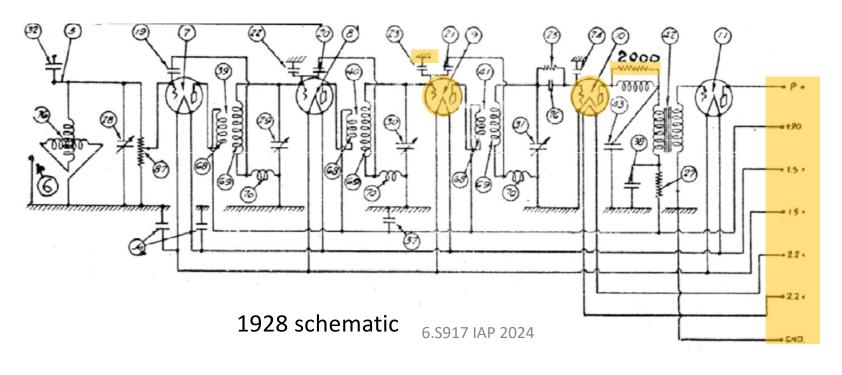
#### Aside: Notation

• Older schematics/docs have some weirder notation for some things: Frequency was quantified in



#### Schematics Could Be Weirder Too

- Tube symbols were kinda weird for a while
- Ground would be drawn all over the place, or put at the top of a schematic
- Resistors were sometimes a lot more zigzags than today
- Tube circuits also just required a lot of voltages compared to today so there's and they tended not to do abbreviated V<sub>CC</sub> type linkers, just disgusting long lines



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# Tubes Need to Heat Up!

#### GENERAL

Cathode—Coated Unipotential	Series	Parallel	
Heater Voltage, AC or DC	12.6	6.3 Volts	
Heater Current	0.15	0.3 Ampere	S
Heater Voltage, AC or DC	12.6	6.3 Volts	ere

**ELECTRICAL** 

- Most of power consumed by tube goes to its heater
- Electrons don't start jumping until the filament gets hot enough.
- That takes a bit of time from turn-on
- Here's me turning on a two-stage audio amp (using 12AT7 tubes!):

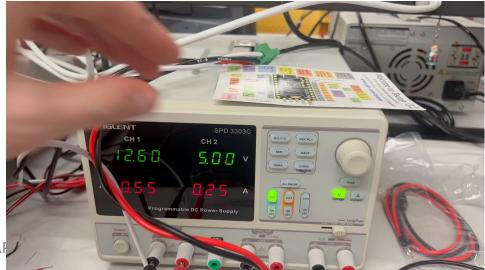


BASING DIAGRAM

RETMA 9A

#### TERMINAL CONNECTIONS

Pin 1—Plate (Section 2)
Pin 2—Grid (Section 2)
Pin 3—Cathode (Section 2)
Pin 4—Heater
Pin 5—Heater
Pin 6Plate (Section 1)
Pin 7—Grid (Section 1)
Pin 8—Cathode (Section 1)
Pin 9—Heater Center-Tap



\*the "12" in "12AT7" referred to the approximate heater supply voltage

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# Classic Inaccuracy in Old Movies

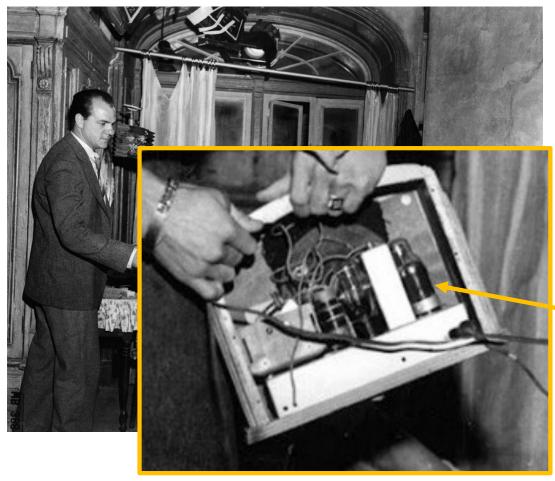
- Any piece of tube equipment would take 15 to 30 seconds to warm up and start running.
- In movies where they show a radio (or something else) turn on they'll often ignore this since that would probably distract the masses who don't care enough to have properly represented electronics and are instead focused on less important things like story or character development



1951's A Streetcar Named Desire film adaptation is one example of many\*

#### Film was in 1951, Joe

• Transistors were invented in late 1948. Technically it was possible that that was a transistor radio



Behind the scenes photo from film

Clearly a tube radio

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https://sydneyweiner3.medium.com/the-sopranos-music-and-control-in-a-streetcar-named-desire-995c551ad80e

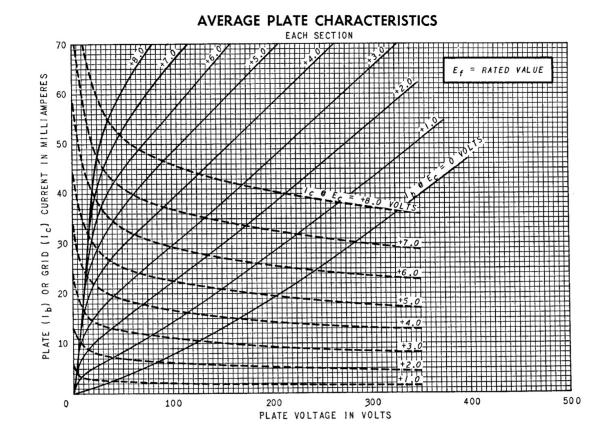
#### Data Sheet Basics

<b>12AT7</b> ET-T1440 Page 2 <sup>2-57</sup>	CHACTERISTICS AND TYPICAL OPERATION		
CLASS A1 AMPLIE	IER, EACH SECTION		
Plate Voltage		250	Volts
Cathode-Bias Resist	or	200	Ohms
Amplification Factor		60	
Plate Resistance, ap	proximate	10900	Ohms
Transconductance.	4000	5500	Micromhos
Plate Current		10	Milliampere
Grid Voltage, appr	oximate		
Ib = 10 Microamp	eres	-12	Volts
* With external shi	eld (RETMA 315) connected to cathode of section under test.		
† With external shi	eld (RETMA 315) connected to grid of section under test.		

- What is "Typical" Operation?
- The values above give the engineer a rough idea of some characteristics of the triode, however they are very "rough"
- The true nature of the triode operation comes from studying the I-V curves!

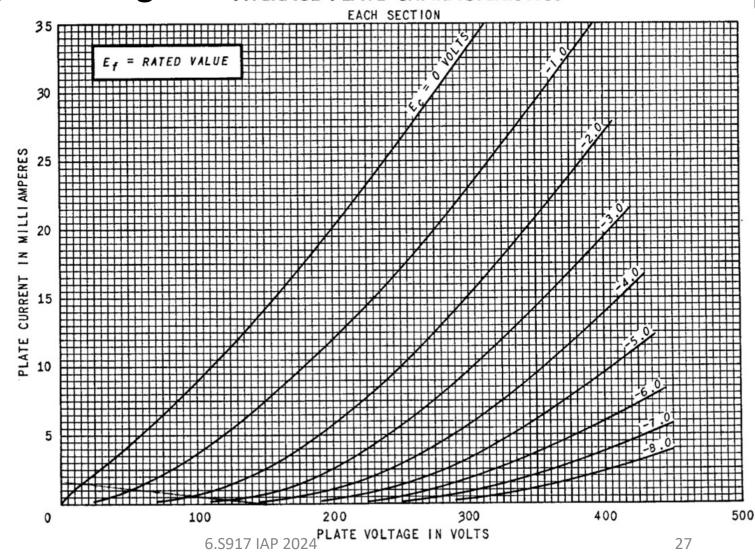
#### 12AT7 Curve 1

- Plate Currents (and grid currents) for when the grid is at a positive voltage
- Will try to ignore this if possible in this class.



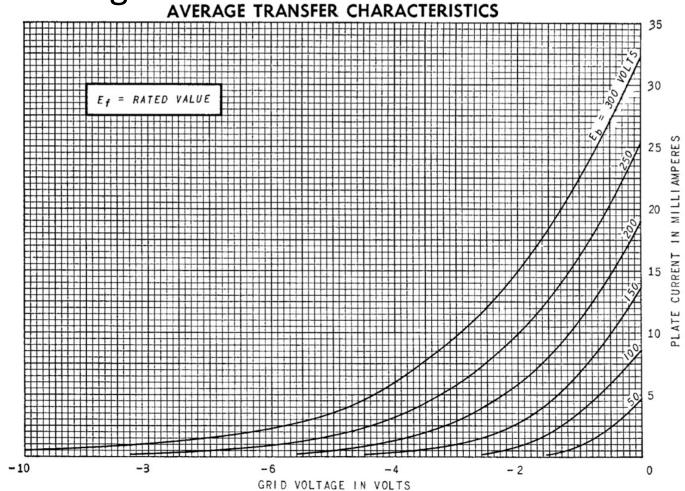
#### 12AT7 Curve 2

• Plate current as a function of plate voltage for specific grid voltages AVERAGE PLATE CHARACTERISTICS



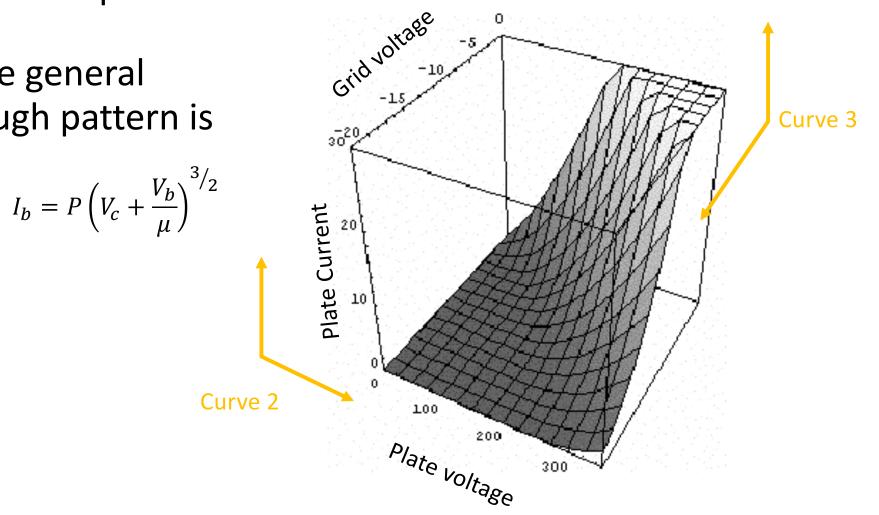
#### 12AT7 Curve 3

 Plate current as a function of grid voltage for specific plate voltages



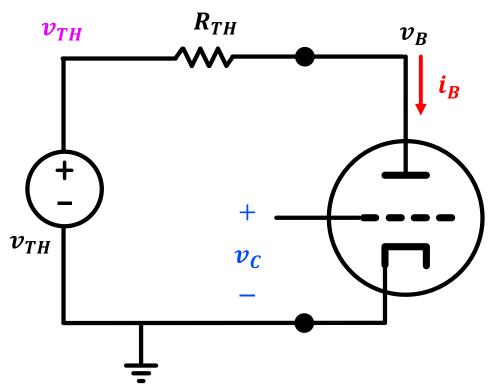
# All together

- The two plots are slices across two of the three axes
- The general rough pattern is



# Generic Thevenin and Triode

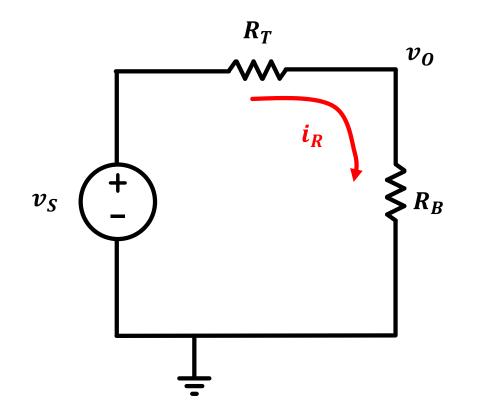
• So let's analyze:



- Unfortunately, trying to solve for how this type of device would be integrated into a standard circuit is hard.
- There is no nice closed form solution of  $v_B(v_c, v_{TH})$ . Instead you either need:
  - to run simulations
  - Use Load-Line Analysis with collected data
  - And/Or a small signal model

# Load Line (Graphical) Analysis

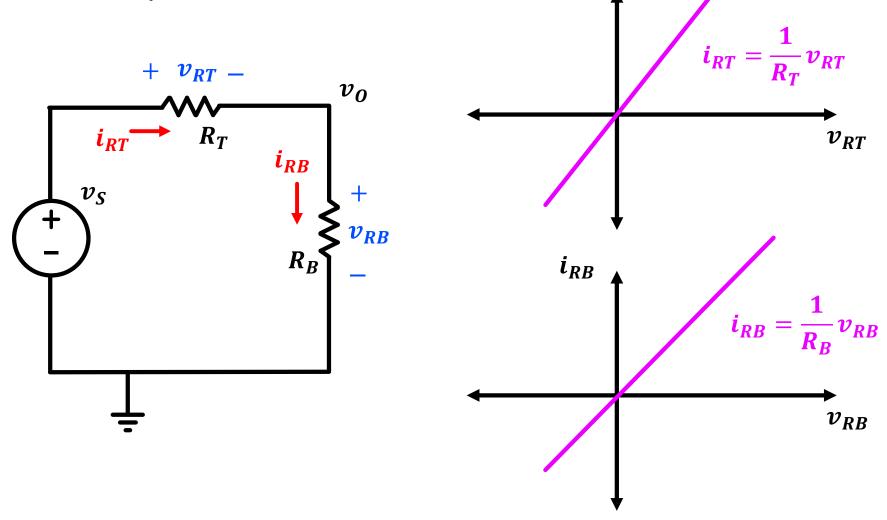
- Yes we know the answer to this is  $v_o = v_s \frac{R_B}{R_T + R_B}$
- But let's think about this circuit a different way

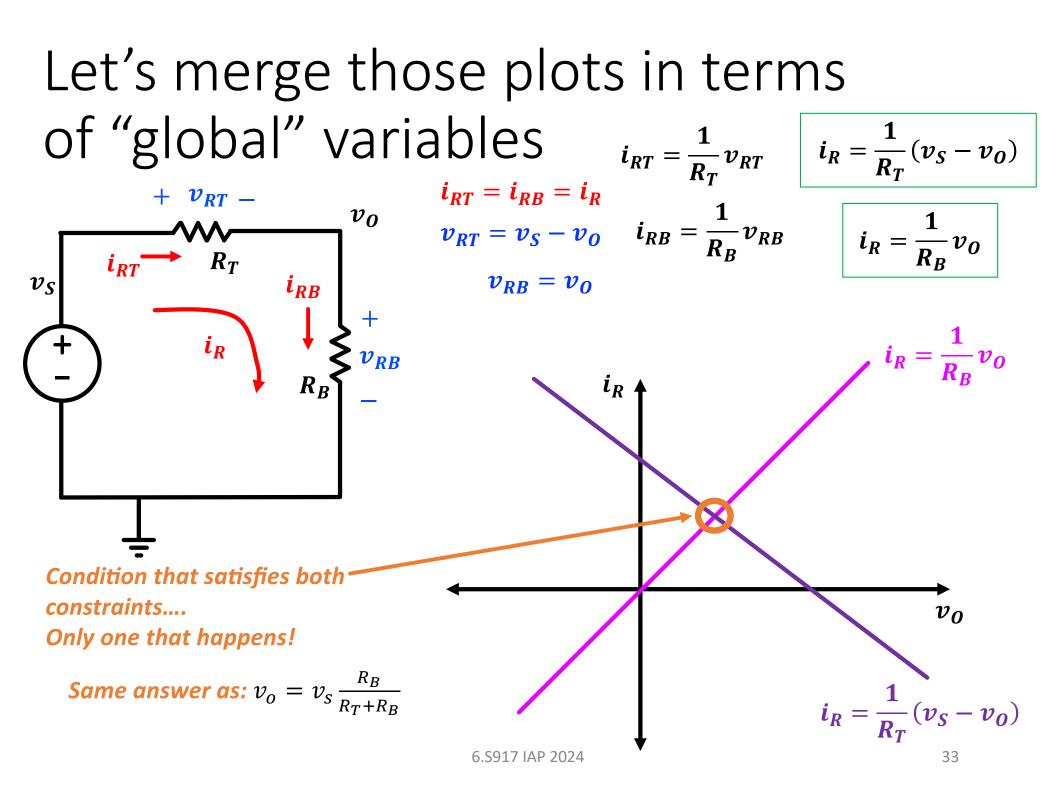


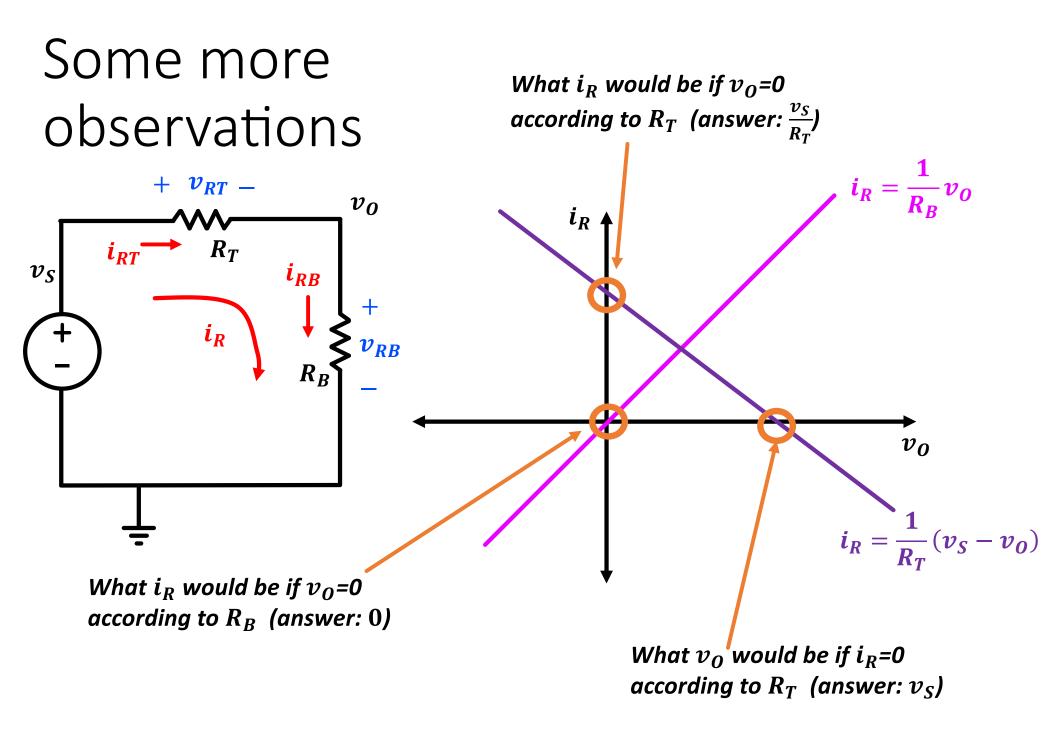
 Let's plot what both *R<sub>T</sub>* and *R<sub>B</sub>*  want/require of the common voltages and currents in this circuit!

## Load Line Analysis

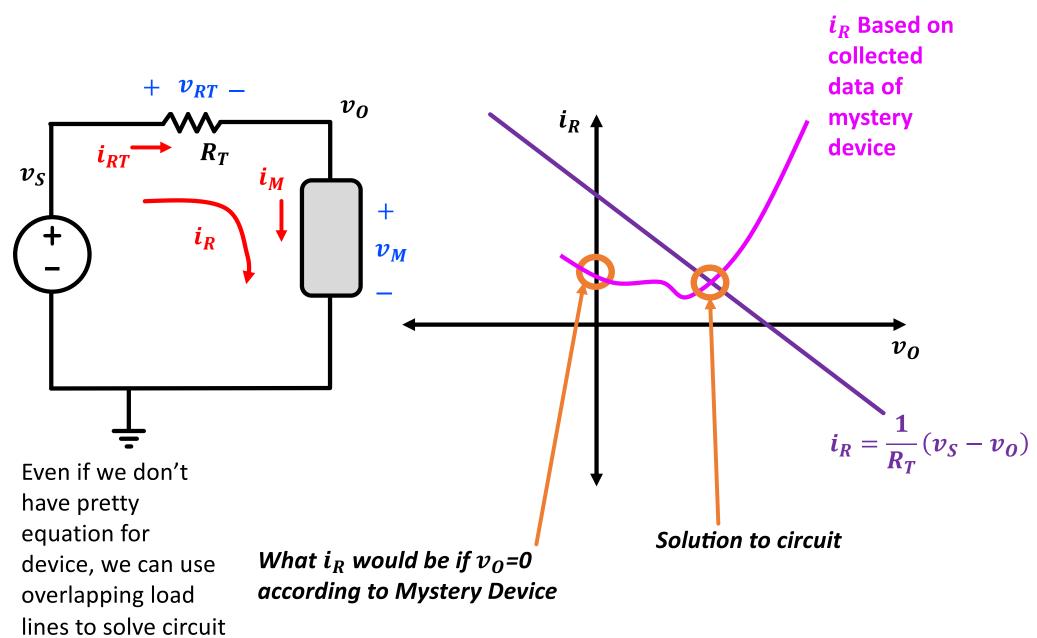
• Let's plot what the resistors want individually...for example:  $i_{RT}$ 





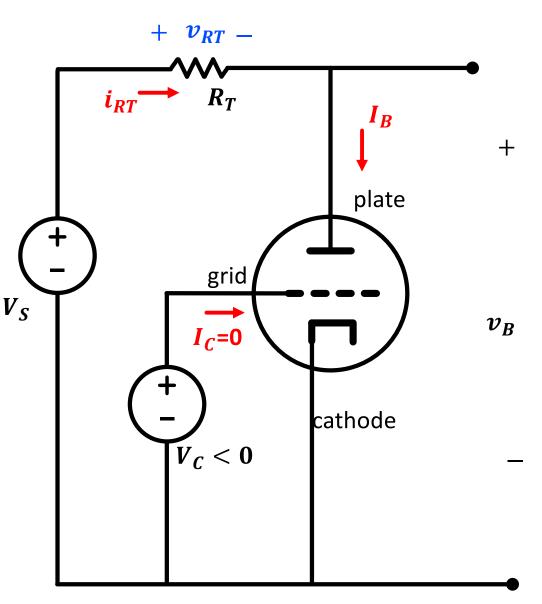


#### Generalize Load Line



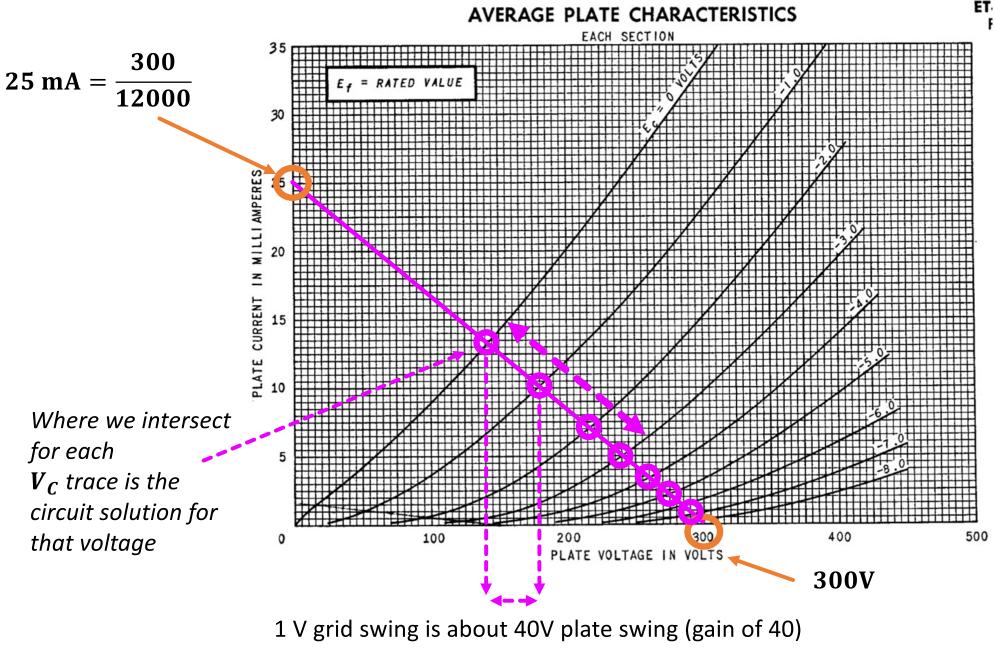
### Basic Triode Setup

- At most basic, you need three separate voltage sources to drive a triode:
  - *V<sub>A</sub>* to run your filament (usually relatively low)
  - *V<sub>B</sub>* To set your plate voltage relative to the cathode
  - *V<sub>C</sub>* To set your grid voltage relative to the cathode



## Plate Characteristics

If we set  $R_T = 12000\Omega$  and  $V_S = 300$  V



## Critical Coefficients

Voltage amplification factor: Thefactor by which the grid changes the voltage at the plate. (unitless)

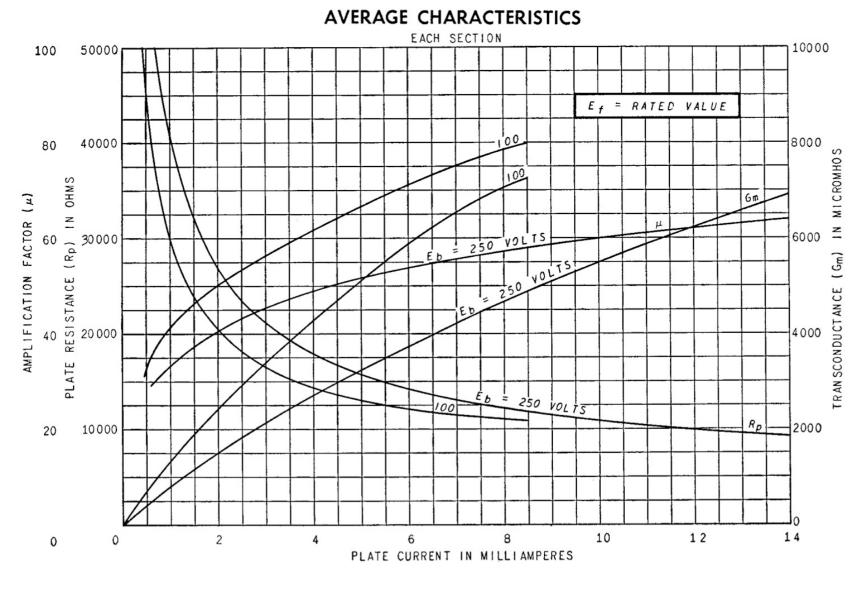
 Three important values characterize a Triode and are related by this equation:

Plate Resistance: The output resistance of the tube at the plate (in Ohms)

Mutual Conductance (Transconductance): The factor by which a change in grid voltage causes a change in plate current (units of conductance...so Mhos or Siemens)

 These numbers are extracted from the values and slopes of the transfer function plots

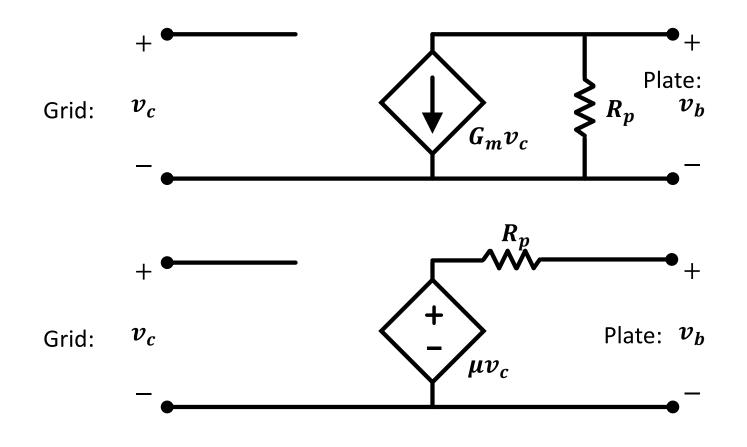
#### There's also these:



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## Triode Small Signal Model (Low Frequency)

• Assuming  $V_C$  is negative,  $I_C$  will be negligible (nA). Can generate two small signal models therefore:

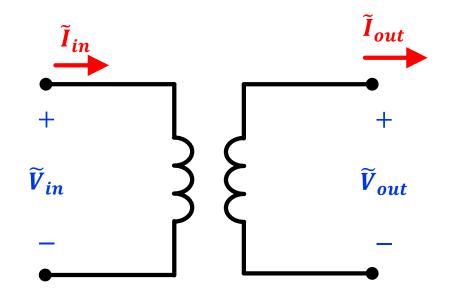


## More on Thursday

- Do a few tube circuits on Thursday to support Week 2 Lab
- Another Tech that supports Tube Circuits a lot are transformers...need to go over.

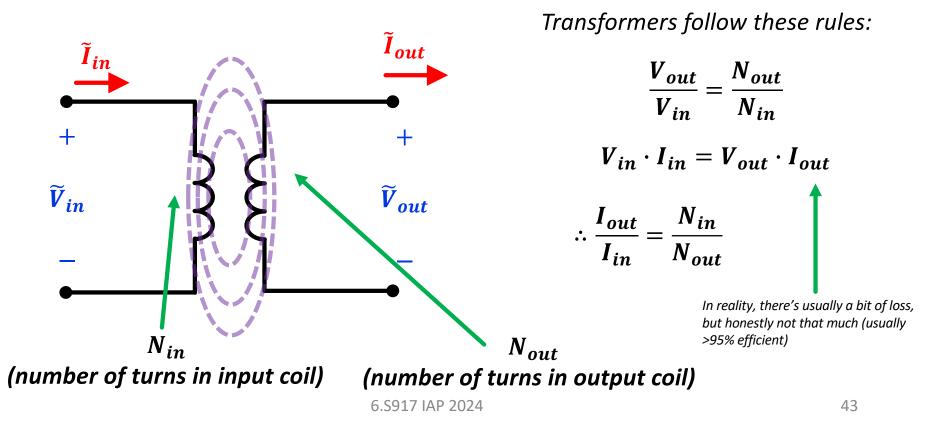
## The Transformer

- As of 2023, transformers are getting rarer and rarer in electronics
- In early electronics, they were everywhere, though so understanding their uses is important



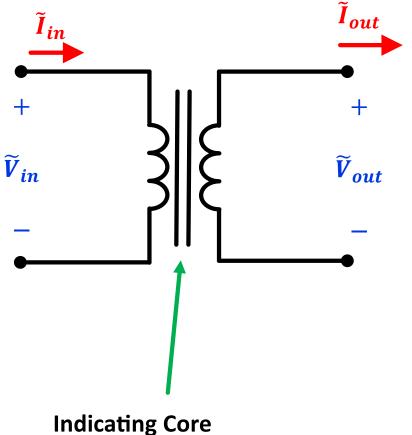
## The Transformer

- Two magnetically-coupled coils, one is the input, and one is the output.
- The voltage and current into the input influence the voltage and current out
- You can vary the relative ratios of the coils to step "up" or "down" current/voltage



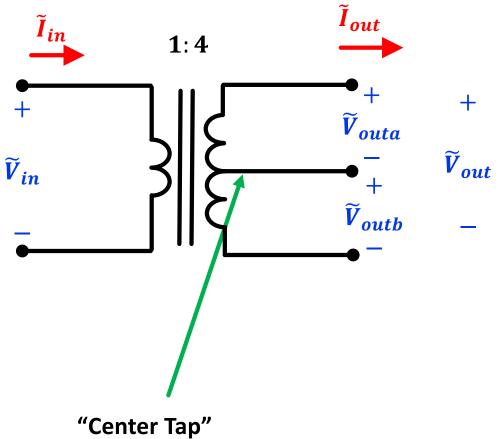
## Cores

 Transformers will sometimes have cores of ferrite or mu metal or something to improve or modulate coupling of the fields. If core present will see double lines



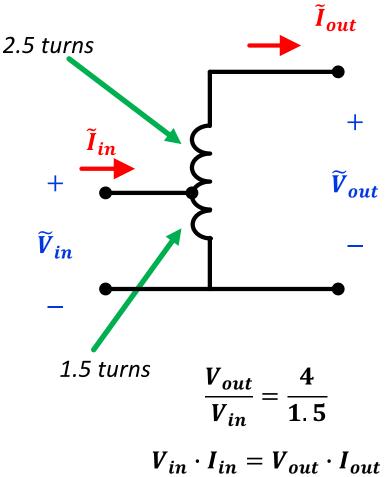


 Transformers may often have multiple taps to get subcoils



# Can also have transformer be one continuous coil

- Called an auto-transformer
- Works the same
- Lacks the electrical isolation you get from true transformer



## What Do Transformers Look Like?

• Vary based on purpose:

Power Transformer:

Audio Transformer:



*Tunable inter-stage transformer:* 



Auto-transformer from Lab 1B:

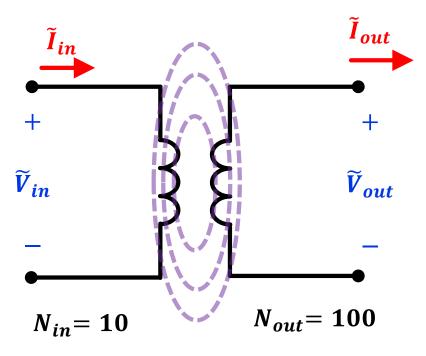


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## Are Transformers Amplifiers?

SO NO!

• Example:



$$V_{out} = \frac{N_{out}}{N_{in}} V_{in} = 10 V_{in}$$

Sure looks like an amplifier...voltage go big!

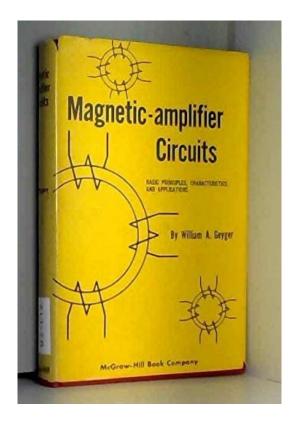
Because of  $V_{in} \cdot I_{in} = V_{out} \cdot I_{out}$  there is actually no power gain and that's usually what we want when we talk about amplifiers...amplifier should modulate a larger power source based off of input signal. Output signal is based on the power of input signal here ONLY!

$$I_{out} = \frac{N_{in}}{N_{out}} I_{in} = 0.1 I_{in}$$

$$P_{in} = P_{out}$$

## Aside: Magnetic Amplifiers

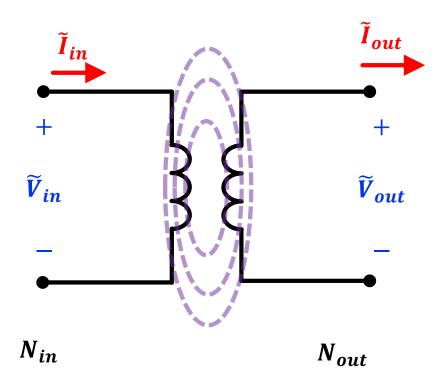
• Through an unrelated technology, using multiple coils on common core, you can use transformers as amplifiers, (called saturable core amplifiers), but that is a specialty field that we're not talking about.



- Germans used "mag amps" in their V2/A4 rocket guidance system
- Some mag amps saw use in specific high-power applications into 60's but that's it
- OK end of aside

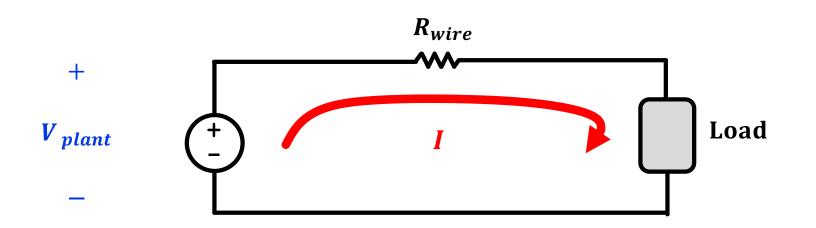
## The Transformer

- OK, so what can we use the transformer for? We can't amplify
- Several big uses:
  - Power conversion
  - Impedance Matching
  - Electrical Isolation
  - Phase Inversion



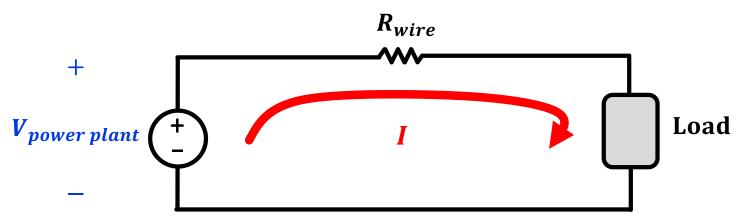
## Transformers: Power Supply

- In parallel with tube development, came the development of the electrical grid.
- AC won out over DC as far as power distribution and the reason for that was transformers!
- Consider the power distribution problem:
  - The load is connected to the power source via non-ideal wire which can be modeled as  $R_{wire}$ .
  - Since  $P \propto V_{plant} \cdot I$  as we need to deliver more and more power down stream, if we achieve this by increasing current since  $P_{loss} \propto I^2 R_{wire}$  loss will go through roof:



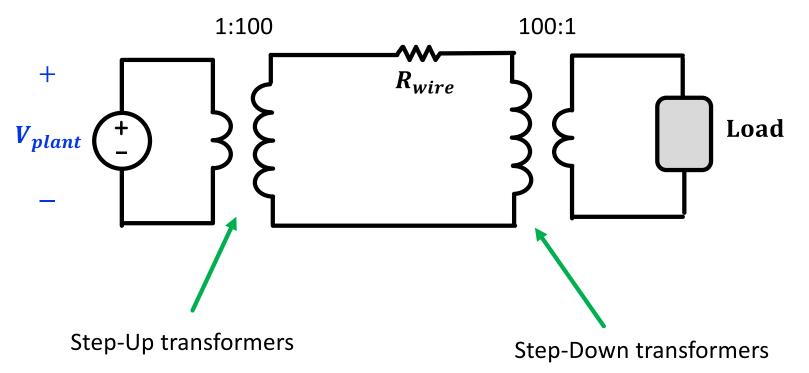
## Power Supply Voltage Conversion

- Lowering *R<sub>wire</sub>* requires making the wires really good (big, pure,...\$\$\$)
- Instead of delivering lots of power using lowvoltage high current systems, do the opposite: <u>high-voltage, low current</u>.
- That way, very little  $I^2 R_{wire}$  loss happens
- But most systems actually want to work off of low voltage high current. How to fix?



## Solution

- Use AC for our power. Lets us use transformers!
- Use transformers to step up voltage to very high voltage (low current) for transport, then on consumer side, step back down



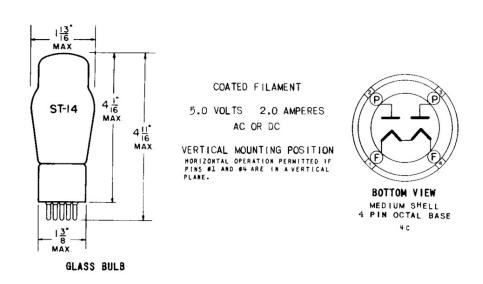
## Vacuum Tube Diodes also helped out here!

- Original argument for DC was that many systems actually worked off of DC. If your electrical system was in AC, then a lot of systems wouldn't run
- Conversion to DC from AC requires some sort of non-linearity in the flavor of rectification (just like in AM decoding)
- Transformers and then Tube diodes\* (which could handle decent amounts of power) allowed transforming AC into DC in a relatively safe manner

\*other rectifying devices which we covered really couldn't handle the powers needed

## Type 80 Tube

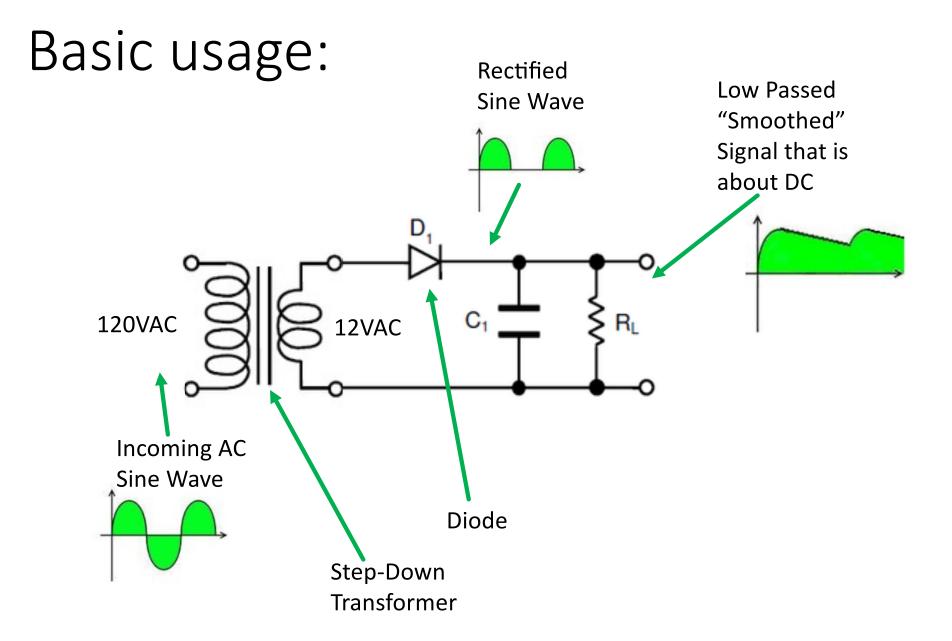
 First wide-spread standardized double-diode for power rectification!



DOUBLE DIODE

THE BO ISDESIGNED FOR USE AS A POWER RECTIFIER IN AC OPERATED RECEIVERS.

http://www.r-type.org/exhib/aaa0296.htm



https://wiki.analog.com/university/courses/electronics/text/chapter-6

#### In some tube news

- Tanner and I have been trying to bring back a large Diathermy machine from 1939.
- Uses gigundous power triodes and rectifiers to generate 12 m band shortwave signals that are supposed to get sent into your body to warm up tissue for "therapy"

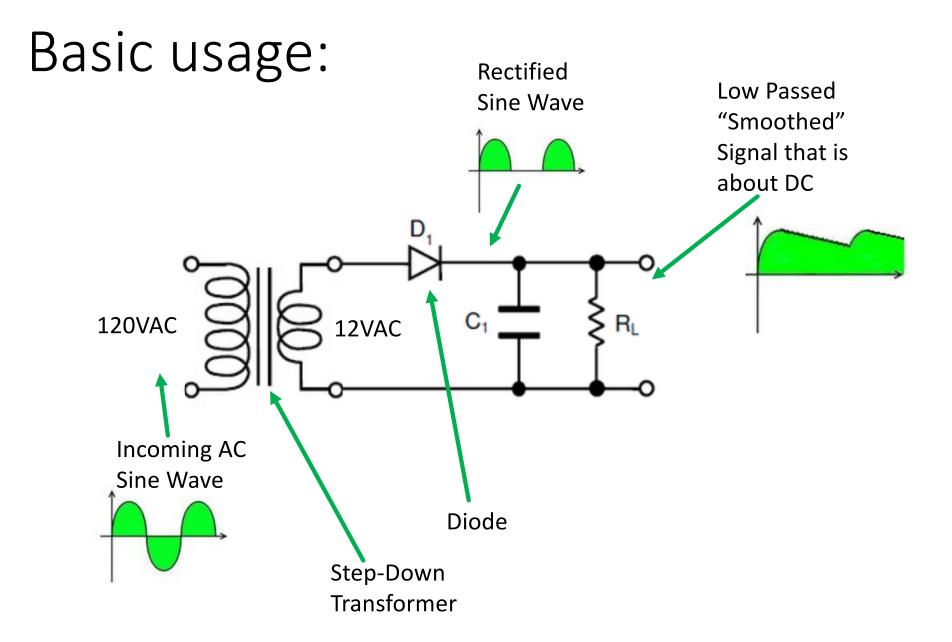




## Mercury Vapor Rectifiers

- Machine uses mercury vapor rectifiers which produce this gorgeous blue glow when running
- Also very, very dangerous
- We are hoping to cook a piece of ham or something with it and will keep you updated.
- Will try to post schematics and links about it.





https://wiki.analog.com/university/courses/electronics/text/chapter-6

#### **Transformers: Isolation** If we consume AC power directly there is a legit change for electrocution: hot +**l**<sub>kill</sub> $\widetilde{V}_{in}$ **120VAC** neutral Earth ground Earth ground • If you close the circuit between hot and ground, current flows :/

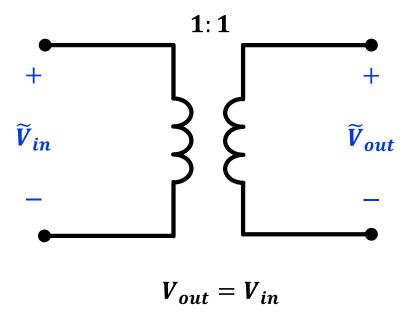
https://www.clipartmax.com/download/m2H7N4d3N4i8H7G6\_cartoon-person-walkingpng-cartoon-ankaperla-com-group-cartoon/# 6.S917 IAP 2024

#### **Transformers: Isolation** Transformers also provide electrical isolation hot N<sub>in</sub>: N<sub>out</sub> +╋ $\widetilde{V}_{out}$ $\widetilde{V}_{in}$ **120VAC** neutral Earth ground Earth ground

• If you close the circuit between hot and ground, no biggie...in your isolated circuit, your local ground is different!

## Transformers: Isolation

- A transformer-ed power supply is electrically quite safe.
- Even if you don't need to step up/down voltage, you'll sometimes see 1:1 transformers that exist solely for the purpose of electrical isolation!

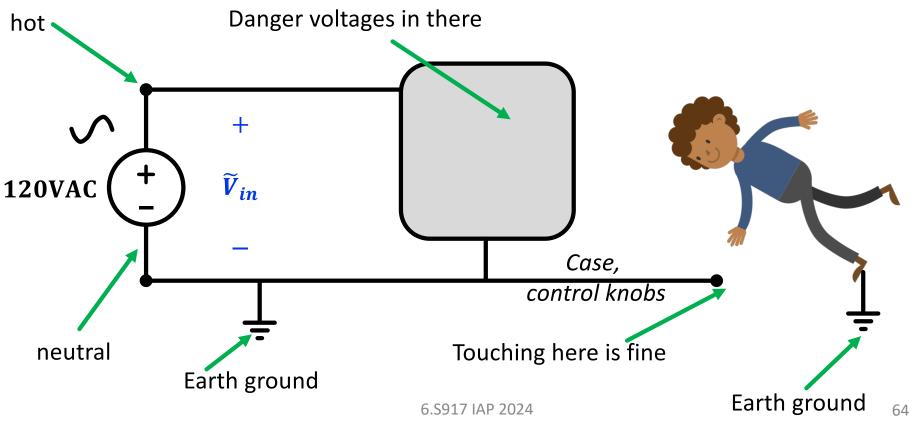


## Isolation and Cost

- Transformers for power conversion are made of metal and are heavy and have copper and iron.
- As a result, they are expensive!
- One of the first things to get "cut" when radios and appliances started to be getting made *en masse* and "budget" models started to appear was the transformer.
- Why spend an extra dollar to avoid electrocution !?
- Assuming everything was set up properly, you'd be fine

# Grounding the Chassis!

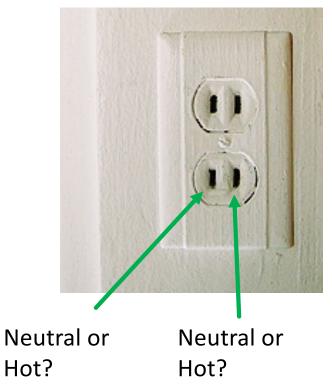
- In creating cheap appliances with no isolation, you'd tie your appliances case/chassis (often metal) to the neutral wire coming from the wall
- The parts of the circuit derived from the "hot" line would ideally be isolated inside the device so as long as you didn't open it up there'd be no fear of electrocution



## Unfortunately...

- Up until 1960's US AC plugs were completely symmetric.
- Other than measuring, there was no way to know which line was neutral and which was "hot"

Older wall US outlet Note equal size of slots

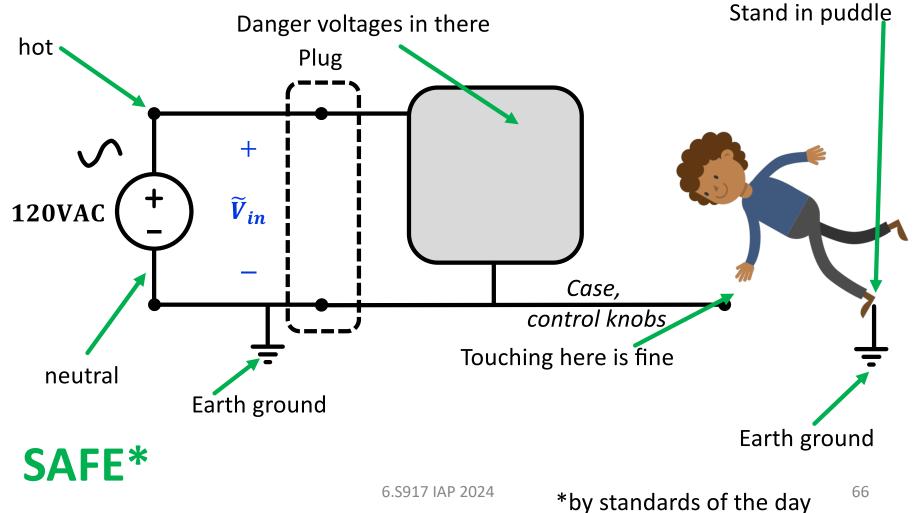


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https://www.houselogic.com/organize-maintain/home-maintenance-tips/shouldgopl-raplace-plp-wiring/

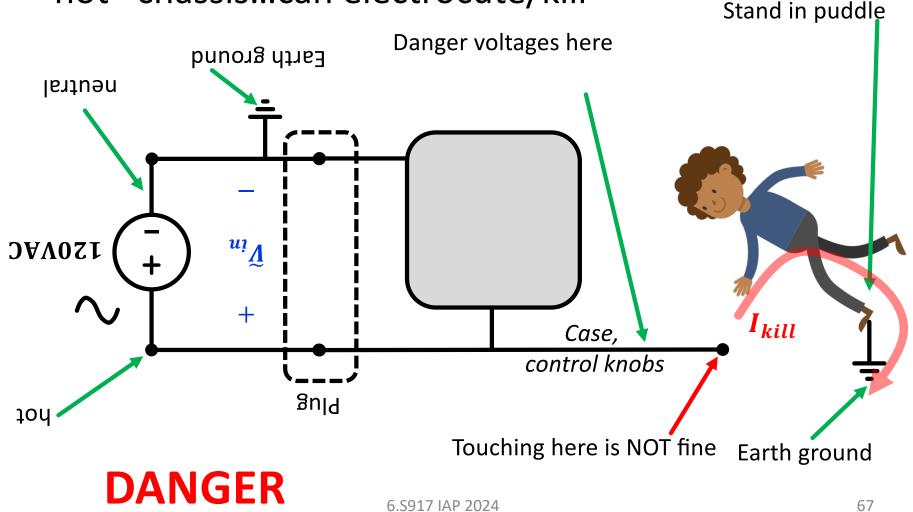
# Flipping a Coin...

 If you plugged in your equipment one way, you would end up with your happy, safe circuit



# Flipping a Coin...

• If you plugged in your equipment the other way, you would end up with a "hot" chassis...can electrocute/kill

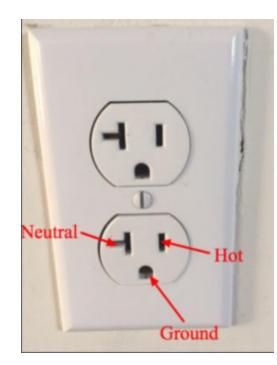


#### Improvements

 1960s, US started to make slightly better AC safety rules pertaining to plug dimensions and shapes forcing proper orientation



Better (note prongs have different sizes)





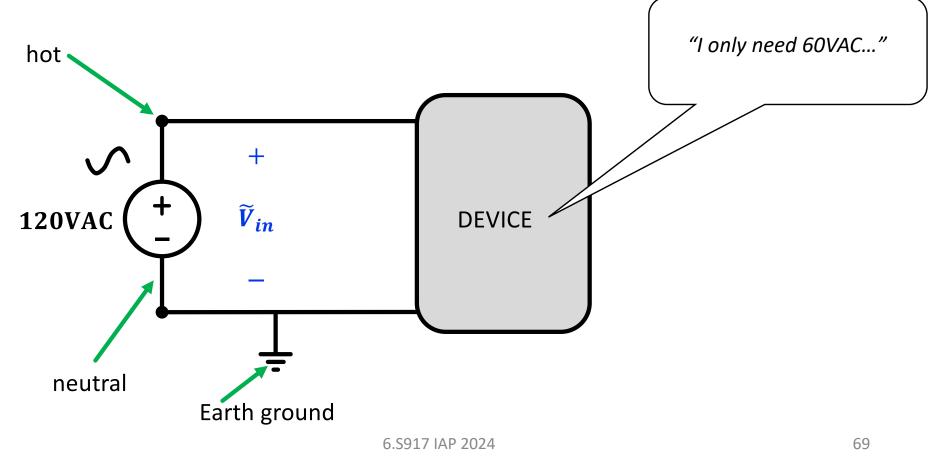


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Even better

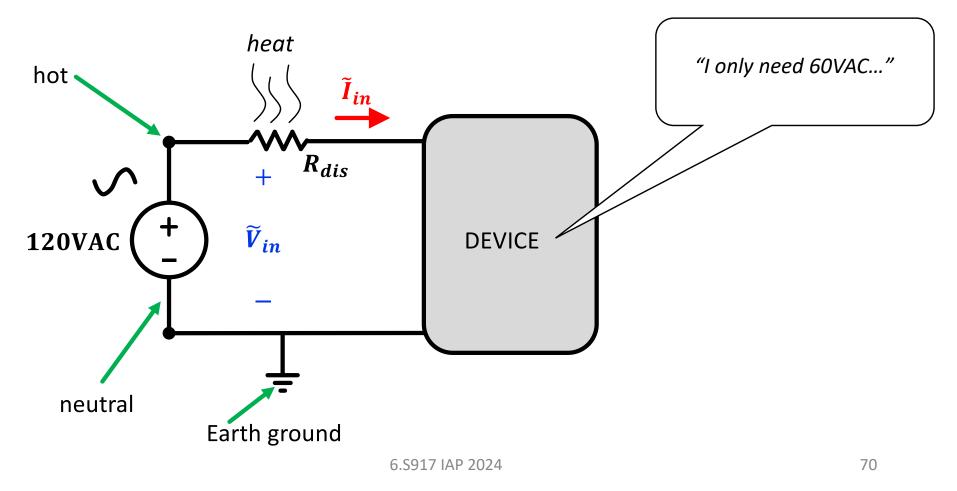
## Dropping Voltage without Transformers

 Some early/mid-20th century appliances needed lower voltages than 120VAC line, but didn't have budget to have a transformer to step down the voltage



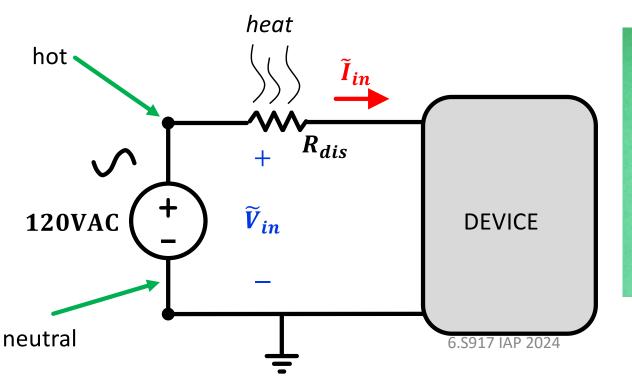
## Solution: Drop it with In-Series Resistor

- Cook off excess power with resistor
- Many systems needed an amp or more
- If you needed to drop 60VAC that'd be 60W!
- Too much to dissipate inside device



## Solution: Cook it off in power cable!

- Embed AC power cable with in-series heat-dissipating resistor and keep it stretched out.
- If you bunch it up, though Good luck
- Came to be known as "curtain-burners"
- They would coat them in asbestos to make more robust to heat, but that of course has other issues





## Restoring Antique Appliances

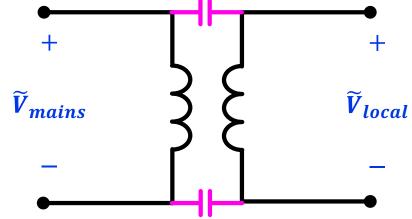
- If you find an old radio or fan or mixer or whatever, and want to restore it, you'll need to:
  - Rewire it so that a hot-chassis is impossible
  - Potentially add a modern power supply to more safely drop voltage as needed
  - Also do a whole bunch of other things!

### Interesting Issue

- I get a slight electrical tingle from my macbook when I touch the chassis and a grounded object
- That would suggest there must be some path or lack of isolation or something.
- But we're in the modern era and things are safe, correct?
- There's no more hot chassis(es)?

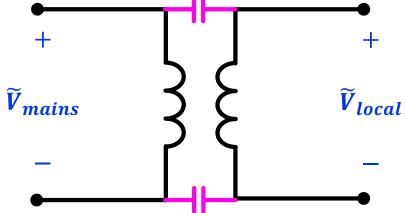
# Where the Leakage Comes From

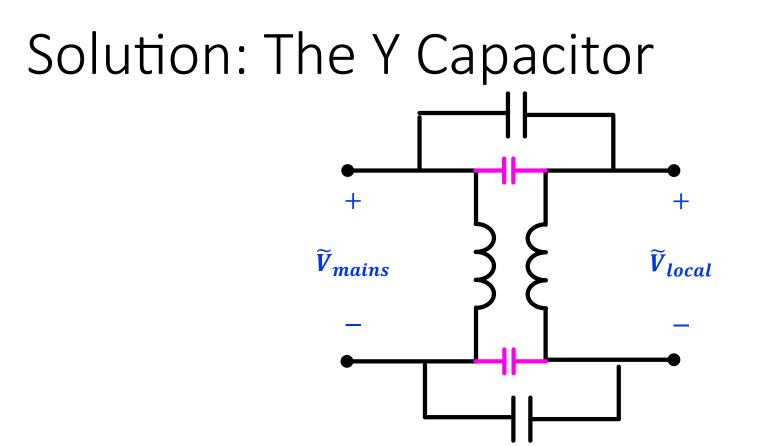
- Modern power supplies run at very high frequencies (100's of kHz).
- Doing so lets the transformers be much smaller so you save on iron (and other benefits too)
- They do have full "official<sup>™</sup>" isolation via some transformers, but these types of transformers will have parasitic capacitance between the two windings



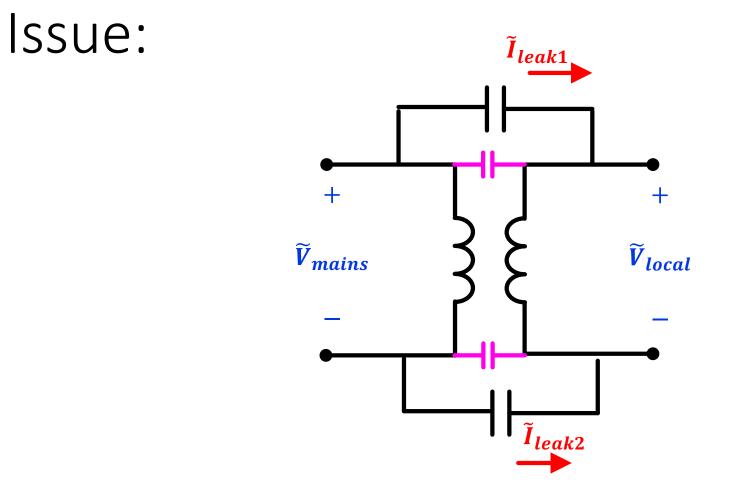
# Where the Leakage Comes From

- This parasitic Capacitance is small, but because of its presence and involvement with the inductances of the coils of the transformer you can get some oscillatory action.
- Since C is small that means the frequency the caps and coils like to oscillate at together will be large:
- This high frequency behavior can get everywhere and cause noise





- Put much larger capacitors (nF) in parallel with transformer
- This C adds (in parallel) with parasitic C, making total C much larger...brings the resonant frequency down  $f = \frac{1}{2\pi\sqrt{LC}}$



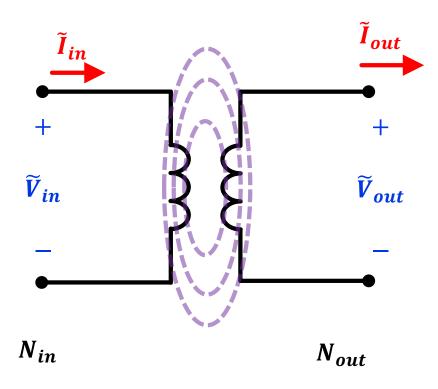
- Bigger capacitors have lower impedances  $Z_C = \frac{1}{i\omega C}$
- So now there is a bigger non-isolation path between the "isolated" side and the mains

#### Issue:

- And this means there is the possibility for leakage current to occur if the polarity of an AC plug is flipped and someone touches earth ground
- Thankfully, this is highly regulated. Can't be more than about 50 uA of current
- The Y capacitors must be very good (very high safety rating to avoid failure/shorting).
- But there is some leakage there.
- So my macbook isn't a hot chassis...more like a warm chassis.
- Good discussion:
  - <u>https://electronics.stackexchange.com/questions/21695</u>
     <u>9/what-does-the-y-capacitor-in-a-smps-do</u>

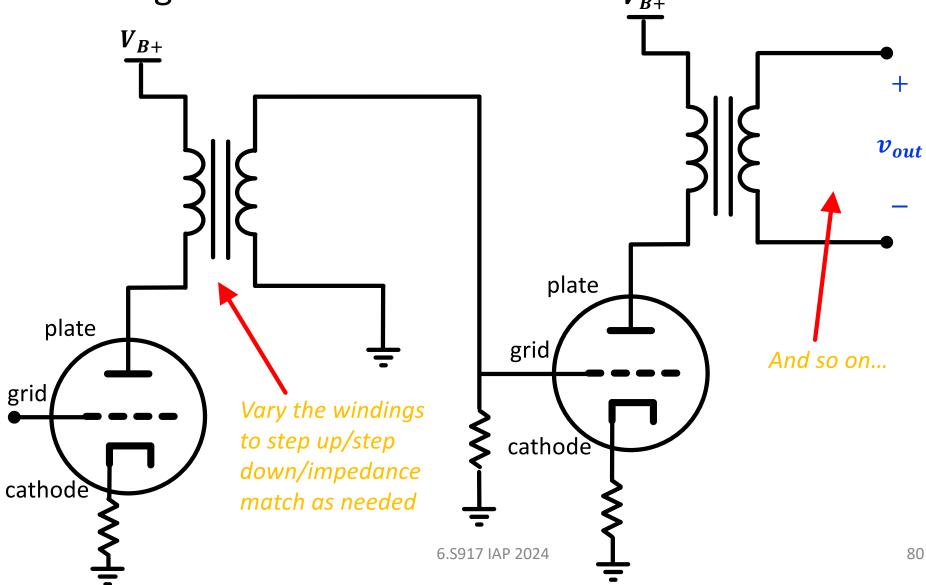
### The Transformer

- OK, so what can we use the transformer for? We can't amplify
- Several big uses:
  - Power conversion
  - Electrical Isolation
  - Impedance Matching
  - Coupling Stages
  - Phase Inversion



### Linking Tubes (Coupling)

• Many early tube circuits that had more than one tube would "couple" one stage of circuit to the next using transformers  $V_{B+}$ 

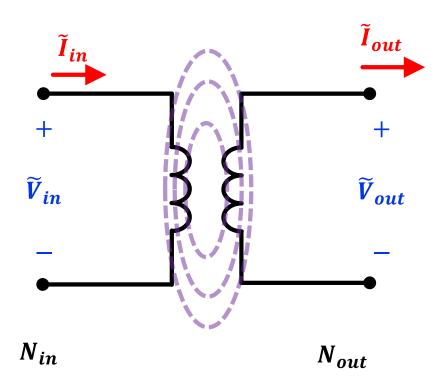


## Transformer Coupling

• Expensive and with time disappeared from all but the most important stages (replace with resistive or capacitive coupling)

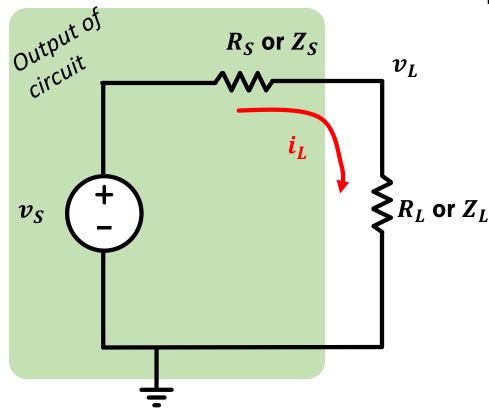
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#### Transformers: Impedance Matching

• We can always model the exchange of information and energy from one portion of a circuit to another with a Thevenin circuit driving a load:

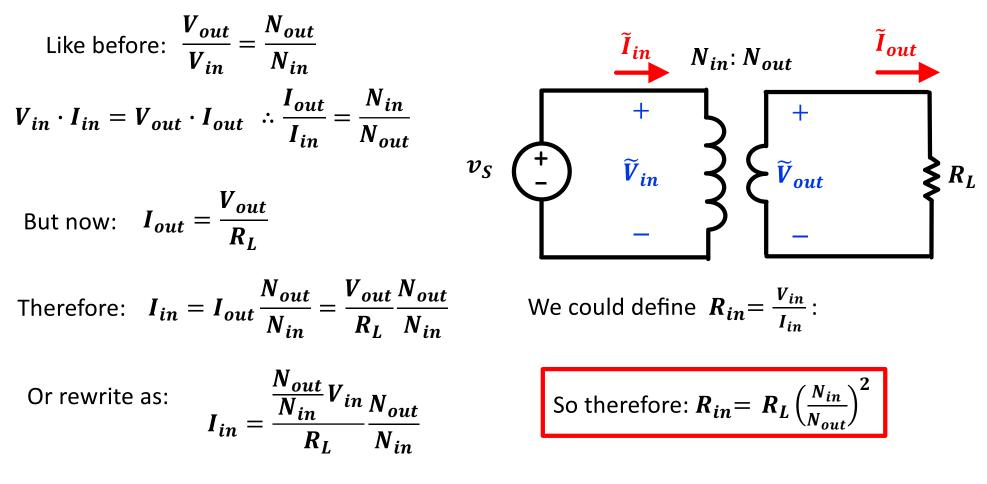


If concerned about passing voltage onto load: focus on  $R_L > R_S$  and ideally  $R_L \gg R_S$ 

If concerned about passing max power into load:

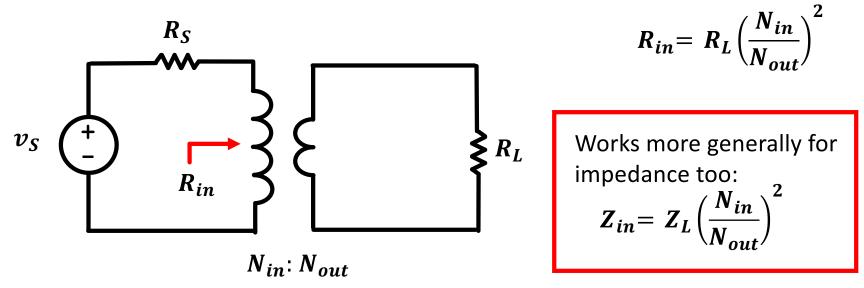
focus on trying to get as close as possible to  $Z_L = Z_S^*$  Transformers: Impedance Matching

 We can always model the exchange of information and energy from one portion of a circuit to another with a Thevenin circuit driving a load:



Transformers: Impedance Matching

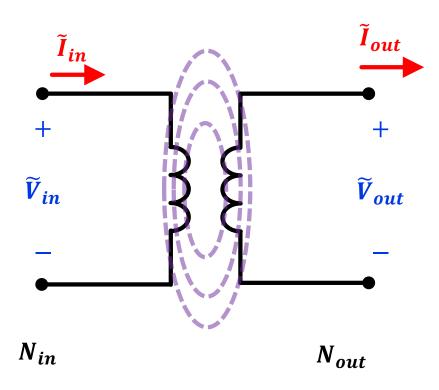
• A transformer can change how a particular load "looks" to a source:



- Is **R**<sub>L</sub> too low (like might be the case in a speaker)? Use a step-down transformer to increase the resistance
- Is *R<sub>S</sub>* too high (like is sometimes the case in an antenna)? Use a step-up transformer to decrease the resistance

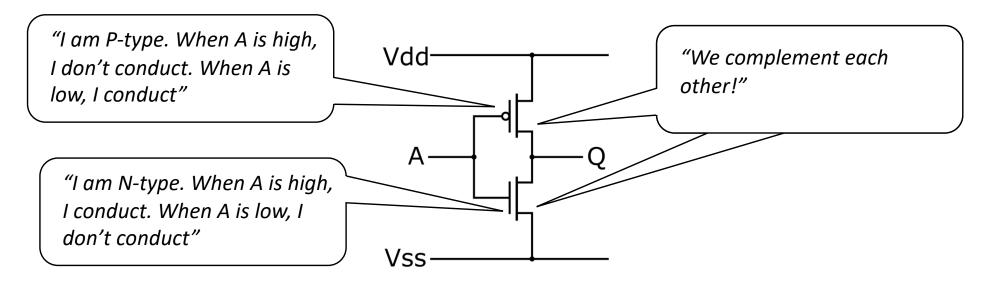
### The Transformer

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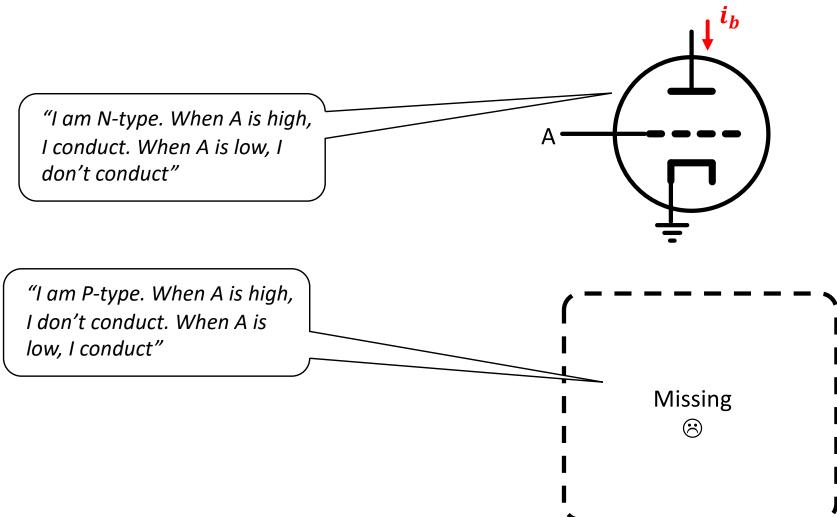
### Problem: Tubes only have one "type"

- In the future (1950s) we'll have N-type and P-type devices, working off of electron and hole charge carriers, respectively
- Allows us to develop amplifiers that work in complementary fashion
- Consider a CMOS inverter for example



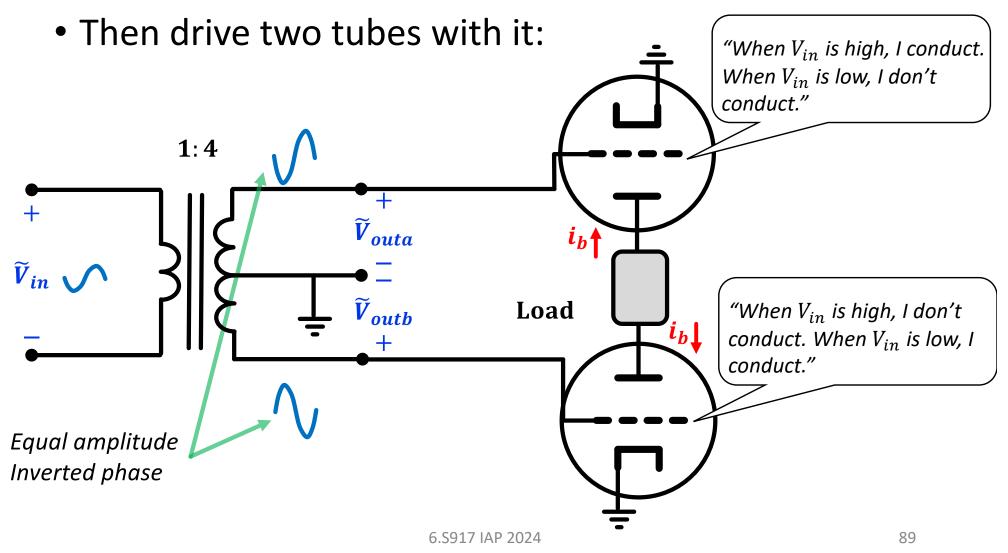
## Tubes only have one "type"

• Tubes only work off of electrons as carrier

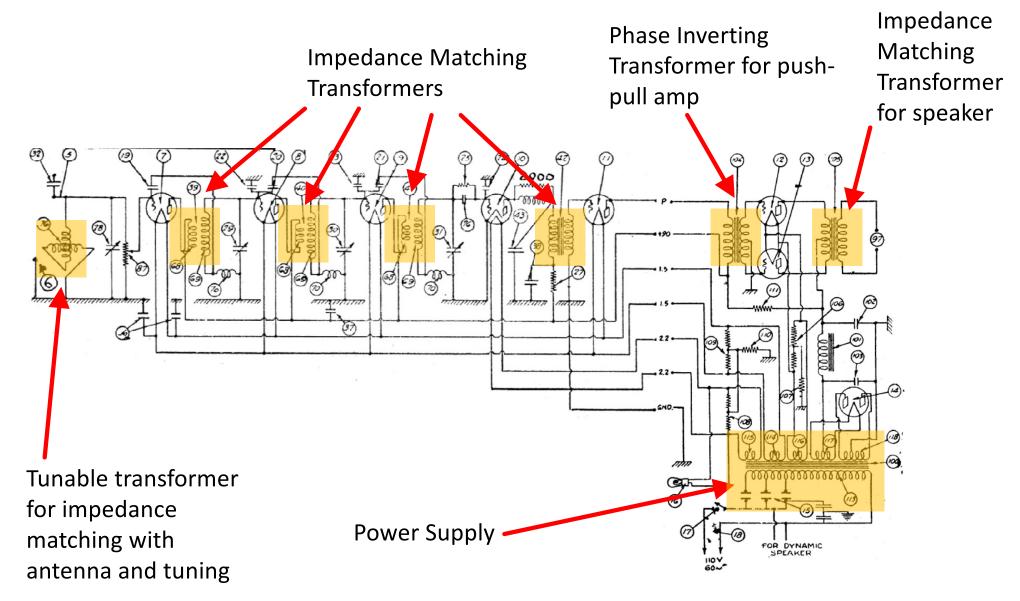


### Solution: Use a Transformer

 Use a Center-tap to Ground to create two completely out-of-phase signals from input signal.



#### 1928 Bosch Radio Receiver Schematic



#### Next Lecture

- Some Tube Circuits
- Triode Limitations
- Adding More Electrodes